



Sanctions Screening & Compliance Services

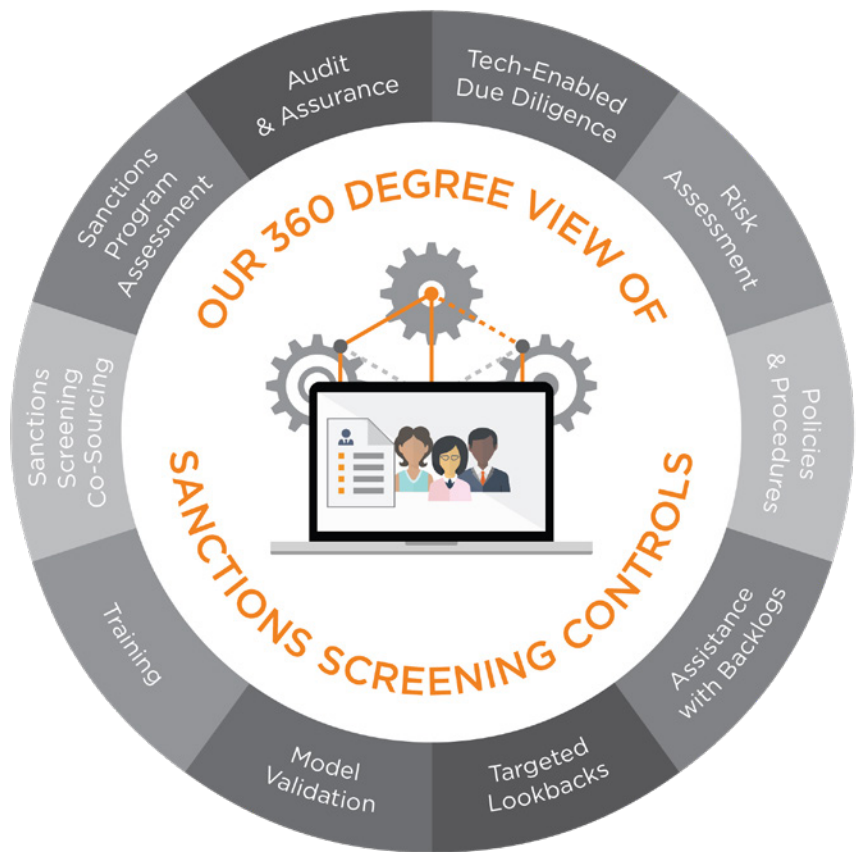
Assessing sanctions risk requires real industry experts.

As sanctions become more pervasive and complex, Exiger's experienced financial crime compliance experts can help clients identify and mitigate compliance risks, audit sanctions programs, as well as investigate and remediate sanctions program deficiencies. Our team draws on extensive experience in private and public sectors and advises companies operating in a wide range of industries and jurisdictions as well as leading financial institutions.

Industry Experts with Tailored Solutions

Exiger's Advisory professionals have led sanctions programs and executed all components of a sanctions compliance program.

Additionally, we have served as monitors and independent consultants for global institutions, giving us a unique and deep understanding of regulatory expectations. Our team leverages subject matter expertise, control testing capabilities, advanced data analytics, and operational experience to review and design sanctions controls, implement sanctions compliance programs, validate models, and provide sustainable solutions.



Our Sanctions Offerings



RISK ASSESSMENT



PROGRAM ASSESSMENT & ENHANCEMENT



ALERT REVIEW & BACKLOG REMEDIATION



MODEL VALIDATION



AUDIT & ASSURANCE

How We Help

RISK ASSESSMENT

- Evaluate entity sanctions risks against regulatory requirements and risk appetite, review current controls and identify gaps
- Conduct product-based sanctions risk assessments

PROGRAM ASSESSMENT AND ENHANCEMENT

- End to End sanctions program reviews, from conceptual framework to alert review output
 - World-class, tech enabled due diligence to identify sanctions exposure through parent companies, ultimate beneficial owners and counterparties
 - Draft and implement comprehensive sanctions screening Policies and Procedures and Risk Assessments
 - Provide sanctions training for executives, compliance staff and alert adjudicators
 - Develop detailed recommendations for program enhancement
- Review for compliance with sanctions sub-parts of NYSDFS Part 504
- Conduct KYC/CDD reviews to determine if sanctions exposure is identified and mitigated

ALERT REVIEW AND BACKLOG REMEDIATION

- Provide staff augmentation/co-sourcing to enable timely review of both name and transaction screening alerts for sanctions nexus on an ongoing basis
- Conduct targeted lookbacks of legacy alerts to identify breadth and scope of historical sanctions risks

MODEL VALIDATION

- Deep dive model assessment to review configuration settings/general governance, data quality, exact and fuzzy matching performance, and appropriateness of tuning

AUDIT AND ASSURANCE

- Independent review of sanctions exposure and quality of sanctions controls,
- Validate closure of existing audit or regulatory findings

About Exiger

Exiger is revolutionizing the way corporations, government agencies and financial institutions manage risk and compliance in their third-parties, supply chains and customers through its software and tech-enabled solutions. Exiger's mission is to make the world a safer and more transparent place to prosper. Emboldening its 550 customers across the globe, including 150 in the Fortune 500 and over 40 government agencies, with award-winning AI technology, Exiger leads the way in ESG, cyber, financial crime, third-party and supply chain management.